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P21955.A04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Claudius F. FORMANN

Group Art Unit: Unknown

Appl. No. : 10/031,933 (National Stage of PCT/EP00/06766)

Examiner: Unknown

I.A. Filed : July 15, 2000

For : LITHIUM INTERCALATION COMPOUNDS
CONTAINING LITHIUM MANGANESE OXIDE

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
AUG 05 2002
TC 1700

Sir:

Pursuant to 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.98, Applicant hereby directs the Examiner's attention to the following information.

Applicant directs the Examiner's attention to the following documents cited in International Application No. PCT/EP00/06766, and the International Search Report and/or the International Preliminary Examination Report in connection with this international application.

U.S. Patent No. 4,302,518

U.S. Patent No. 4,464,447

U.S. Patent No. 4,507,371

U.S. Patent No. 4,980,080

U.S. Patent No. 5,418,090

U.S. Patent No. 5,742,070

DE 195 15 629 A1

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WO 96/12676

W0 97/37935

WO 98/02931

Bates et al., "Five-Volt Plateau In LiMn_2O_4 Thin Films", Extended Abstracts, US, Electrochemical Society, Princeton, NJ, Vol. 95/2, 1995, pages 954-955.

Chemical Abstracts, Vol. 125, No. 4, July 22, 1996, Columbus, Ohio, US, Abstract No. 125:43624.

Additionally, Applicant brings to the Examiner's attention the following documents:

DE 691 27 109 T2

DE 197 27 611 A1

DE 195 15 630 A1

EP 0 814 524 A1

Applicant further directs the Examiner's attention to WO 01/07368 which is the publication of International Application No. PCT/EP00/06768 of which U.S. Application No. 10/031,932 is the U.S. National Stage Application.

Copies of the International Search Report in English and German and the International Preliminary Examination Report were enclosed with the papers when entering the National Stage. The Examiner is invited to review these materials to inspect the relevance indicated during international examination with respect to the documents cited therein. Moreover, it is assumed that the Examiner has received an English translation (PCT/IB/338) of the International Preliminary Examination Report. If the Examiner has not received the English translation of the International

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Preliminary Examination Report, the Examiner is requested to contact the undersigned and an English translation will be provided.

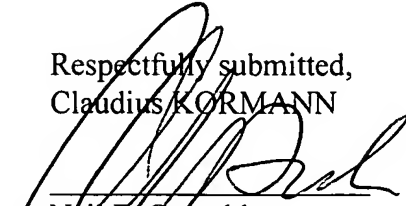
Copies of the above-noted documents are enclosed together with a duly completed Form PTO-1449. In this regard, it is noted that the Form PTO-1449, with the exception of the U.S. application, references each of the cited documents. The U.S. application is not listed as it is not a publication. Moreover, the Examiner is invited to review the file of the cited U.S. application at the Patent and Trademark Office. The Examiner is requested to consider each of the documents, and to initial the Form PTO-1449 in the appropriate spaces. Applicant respectfully requests that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed prior to mailing of a first Office Action on the merits. Accordingly, no fee should be necessary for its consideration. However, if any fees are deemed to be necessary for consideration of this disclosure statement, the Commissioner is authorized to charge any necessary fee to Deposit Account No. 19-0089.

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Should the Examiner have any questions or comments regarding this matter, the undersigned may be contacted at the below-listed telephone number.

Respectfully submitted,
Claudius KORMANN

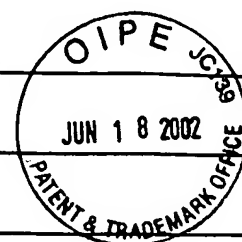


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Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
P21955Serial No.
10/031,933INFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)Applicant
Claudius KORMANNFiling Date
January 25, 2002Group
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>α</i>	4 3 0 2 5 1 8	11/24/81	GOODENOUGH et al.	—	—	
<i>α</i>	4 4 6 4 4 4 7	08/07/84	LAZZARI et al.	—	—	
<i>α</i>	4 5 0 7 3 7 1	03/26/85	THACKERAY et al.	—	—	
<i>α</i>	4 9 8 0 0 8 0	12/25/90	LECERF et al.	—	—	
<i>α</i>	5 4 1 8 0 9 0	03/23/95	KOKSBANG et al.	—	—	
<i>α</i>	5 7 4 2 0 7 0	04/21/98	HAYASHI et al.	—	—	
<i>α</i>						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1 9 5 1 5 6 2 9	10/31/96	GERMANY			
<i>α</i>	9 6 / 1 2 6 7 6	05/02/96	W.I.P.O.	—	—	
<i>α</i>	9 7 / 3 7 9 3 5	10/16/97	W.I.P.O.	—	—	
<i>α</i>	9 8 / 0 2 9 3 1	01/22/98	W.I.P.O.	—	—	
	6 9 1 2 7 1 0 9	01/22/98	GERMANY			
	1 9 7 2 7 6 1 1	02/04/99	GERMANY			
	1 9 5 1 5 6 3 0	10/31/96	GERMANY			
<i>α</i>	0 8 1 4 5 2 4	12/29/97	E.P.O.	—	—	
<i>α</i>	0 1 / 0 7 3 6 8	02/01/01	W.I.P.O.	—	—	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>α</i>	1	Bates et al., "Five-Volt Plateau In LiMn_2O_4 Thin Films", Extended Abstracts, US, Electrochemical Society, Princeton, NJ, Vol. 95/2, 1995, pages 954-955.
<i>α</i>		Chemical Abstracts, Vol. 125, No. 4, July 22, 1996, Columbus, Ohio, US, Abstract No. 125:43624.

EXAMINER

David Charny

DATE CONSIDERED

8/7/04

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.